NPTEL Course on

Human Computer Interaction - An Introduction

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Module 4:
Guidelines in HCI

Lecture 3:
Norman’s Model of Interaction

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Let us first understand the word “INTERACTION”

All man-made objects offer the possibility for interaction.

When an object is designed for a purpose (function) it affords interaction. Interaction is a way of framing the relationship between people and objects designed for them.

Interaction is thus a way of framing the relationship between the object & User.

All Design activities can be viewed as design for interaction. In fact not only objects but space & messages (communication) too involve interaction. Interaction is a key aspect of function, and function is a key aspect of design.

However often one notices that designers often use the word ‘INTERACTION’ rather carelessly.
Untrained Designers often tend to confuse ‘Interaction’ with ‘Reaction’.

For example: Designers claim to be designing “Interactive web pages”.

The fact is clicking on links to navigate to a new webpage is NOT “INTERACTION”.
It is ‘reaction’ of input by the hyperlinked pages. The computer is automatically reacting to input because it has been programmed to do so.
This programmed action couples ‘input’ to ‘output’ in a fixed way.

Interaction is however a dynamic action that through a dialogue (involving feedback) adjusts to input and gives appropriate output.

In HCI - the feedback loop model of interaction treats a person as closely coupled with a dynamic system.

In HCI - Interaction is simply stated as two way communication between

![USER ↔ SYSTEM](computer /device /object/ cyberspace)

It should however be noted that due to the human complex cognitive system, representing interaction between a person and a dynamic system as a simple feedback loop can only be a good first approximation.
Definitions of some Terms of Interaction

**Domain:** expertise, knowledge in some real world Activity. In GUI domain concepts such as geometric shape, colour, Symbols etc are involved.

**Task:** operation to manipulate concepts in a domain.

**Goal:** desired output from a performed task. Ex in GUI: A button

**Intention:** specific action required to meet the goal

**Task analysis:** Study of the problem space
In HCI interaction models are translations between user and system.

There are different Interaction Models mentioned in HCI:

- Donald Norman’s Interaction Model
- Abowd & Beale’s model

A generalised Interaction Model (from Dix et al) has four components:
(i) System; (ii) User; (iii) Input & (iv) Output.

There are different Interaction Styles (nature of the dialogue)

And there are different Interaction Contexts
(Social, Organizational, Educational, Commercial etc)

We will discuss Donald Norman’s Interaction Model.
Norman’s Model of Interaction

Donald Norman’s Interaction model concentrates on the Users Thought processes and accompanying actions.

Norman proposed that actions are performed by the users in cycle such as

(i) Establishing a goal
(ii) Executing the action
(iii) Evaluating the results

Given a need a user sets about achieving the goal of fulfilling the needs.

A series of actions are performed – one leading to another – till the result expected is obtained.
Norman’s Model of Interaction consists of seven stages as follows:

- User to establish **Goal**
- User to formulate **Intention**
- **Decides** on action
- **Executes** the action
- **Perceives** system state
- **Interprets** system response
- **Evaluates** system with respect to goal.
Another way of depicting Normans 7 stage Action model

EXECUTION

GOALS

Evaluation of Interpretation

Interpreting the Perception

Perceiving the status of the system

SYSTEM

EVALUATION

Intention to Act

Sequence of Action

Execution of the action Sequence
Understanding Normans Model with Example:

**Need:** Documenting work done  
**Task:** Save My Sketch  
**Goal:** Safely store the sketch in a place which I can fetch it from

- I will now save my sketch in Paint to my folder HCIDesign234. *(Goal)*
- Mental model: I need to select save option in the file Menu. *(Intention)*
- Action: Click on Label ‘Save’ *(Execution)*
- Sketch saved as document in file
- Mental model: Check in my folder HCIDesigner234 if doc is safe in file. *(Evaluation)*
- Progress bar completes & menu dissolves *(Interpretation)*
- Observe progress bar. *(Perception)*
As a basis for his Interaction Model Norman proposed the following levels of abstraction of knowledge of the user:

- **Task Level**: task level is to analyze the user's needs and to structure the task domain in such a way, that a computer system can play a part in it. The task level describes the structure of the tasks which can be delegated to the computer system.

- **Goal Level**

- **Semantic level**: describes the set of objects, attributes, and operations, the system and the user can communicate. Semantics is about how the user interprets it and makes meanings out of the system.

- **Syntax level**: describes which conceptual entities and operations may be referred to in a particular command context or system state.

- **Lexical level**: language, wording.

- **Physical Level**:
Norman’s HCI model consists of three types: **User’s Mental Model**; **System Image Model**; **Conceptual Model**.

The **User’s Mental Model** is the model of a machine’s working that a user creates when learning and using a computer. It is not technically accurate. It may also be not stable over time.

User’s mental models keep changing, evolving as learning continues.

In a way Mental Models are models people have of themselves, others and environment.

The mental model of a device is formed by interpreting its perceived actions and its visible structure.

The **System image Model** is the visible physical part of the computing system / device.
**The Conceptual Model.**

This is the technically accurate model of the computer/device/system created by designers/teachers/researchers for their specific internal technical use.

Users too have a Conceptual model but it is their mental model unless the user is a technically qualified as the evaluator. In a way as far as the user is concerned mental models and conceptual models are inherent to each other. Designer’s too have Mental models of the system. So a Conceptual model of the system needs to be as close as possible to the System’s Image Model.

The User model (*what the user develops in the self to explain the operation of the system*) and the system image (*the system’s appearance, operation way it responds*) is usually a blend of the users mental model and conceptual model all rolled into one.
Interaction Model and device/system Design

A good device/system will emerge when the starting point of the design process is the user—his/her mental model’ (in turn derived through user research—task analysis, walk thoughts, contextual inquiry etc) being the basis of the system image and its conceptual model.

The Conceptualisation of the Designer had in his/her mind is called the design model.

Ideally, the design model and user model have to be as close as possible for the system's acceptance.

The designer must ensure that the system image is consistent with and operates according to the proper conceptual model.
Norman applies the Model to explain why some interfaces cause problems to the users.

He uses the terms “Gulf of execution’ and ‘Gulf of evaluation’.

Norman’s model (also sometimes called as Gulf Model) is useful in understanding the reasons of interface failures from the users point of view. The Seven stages of action model is an elaboration of the Gulf model.

**Gulf of Execution** represents the difference between user’s formulation of the action to reach their goals and the actions allowed by the system.

User’s formulation of action $\neq$ Actions allowed by the system.
**The Gulf of Evaluation** is the difference between physical presentation of system state and the expectations of the user.

User’s Expectation $\neq$ system’s presentation.
Interaction Styles

Having understood Interaction Framework as a model let us Look at Interaction Styles

Some common interaction styles
• Command line interface
• Menus
• Natural language
• Question/answer and Query dialogue (Ex: SQL)
• Form-fills and spreadsheets
• WIMP – [Windows; Icons; Menus; pointers]

• Three–dimensional interfaces
• Gestural Interfaces
• Vice operated commands
• Thought (mind) operated commands (Evolving rapidly at Laboratory level)
Conclusions

Interaction models are conceptualisations of the process of Interaction between
the user and the system.

Normans seven stage Interaction model explains interactivity from the user’s point of view.

There is a Gulf of (i) EXECUTION & (ii) EVALUATION

There could be a number of reasons why an interaction can fail at a number of points in the dialogue.

The interaction model can be a useful tool for analysing as well as conceptualising dialogue between a system and user.
Assignments

Draw the Users Mental Model for a Transfer of Money from one account to another on an ATM

Using Normans seven principles draw a Normans Interaction Diagram for 2 Tasks in any application software of your choice.
References

